

BNSDOCID: <WO_0104005A1_1> 8c

DESIGNATIONS OF "DE"

Until further notice, any designation of "DE" in any international application whose international filing date is prior to October 3, 1990, shall have effect in the territory of the Federal Republic of Germany with the exception of the territory of the former German Democratic Republic.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MC	Monaco
AU	Australia	FI	Finland	MG	Madagascar
BB	Barbados	FR	France	ML	Mali
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GR	Greece	NL	Netherlands
BJ	Benin	HU	Hungary	NO	Norway
BR	Brazil	IT	Italy	PL	Poland
CA	Canada	JP	Japan	RO	Romania
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	LI	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SU	Soviet Union
DE	Germany	LU	Luxembourg	TD	Chad
DK	Denmark			TG	Togo
				US	United States of America

STABLE, COVALENTLY-BONDED SUPPORTS FOR CHEMICAL
SEPARATION APPARATUS MADE THROUGH A HYDRIDE
INTERMEDIATE

5

Field of the Invention:

This invention relates to a surface-modified material used in a wide variety of separation applications such as chromatography and electrophoresis.

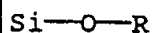
10 More particularly, the invention pertains to a chemically modified mineral oxide such as silica, quartz or the like, which exhibits improved hydrolytic stability, larger organic coverage and superior separative capabilities when formed into various forms
15 or shapes, such as porous beads or capillary tubes.

This is a continuation-in-part of application Serial No. 07/407,816, filed September 15, 1989.

Background of the Invention:

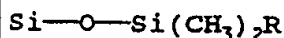
20 Chemically modified silicas have been, and continue to be, widely used as supports in a great variety of chromatographic separations. With the aim of controlling its selectivity while reducing unwanted interactions with one or more compounds, numerous synthetic procedures have been developed to attach
25 organic moieties (R) on the silica surface. Early work on the chemical modification of silica (Halasz and Sebastian, *Angew. Chem. (Int. Ed.)* 8:453 (1969); Deuel et al., *Helv. Chim. Acta* 119:1160 (1959)) described the use of an esterification reaction between surface

silanol groups (SiOH) and an alcohol to give a structure of the following type:



Although such materials were useful for many separations, their limited hydrolytic stability seriously precluded the extensive usage of these bonded phases, particularly in liquid chromatography which requires the use of aqueous eluents.

Currently, commercially available bonded phases are prepared by reacting selected organosilanes with the silica surface. Halogen- or alkoxy-substituted alkyl dimethylsilanes are the most commonly used silanizing reagents. The resulting bonded support bears monolayer surface structures of the following type:

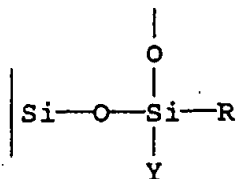


By changing the structure of the R group, it is possible to produce bonded silicas with a great variety of organic groups, ranging from non-polar materials, for instance, octyl- and octadecyl-silicas commonly used as bonded supports in reversed-phase liquid chromatography, to ionic materials such as benzenesulphonic acid derivatives which are widely used in ion-exchange liquid chromatography. The preparation of these and similar materials are described in a number of publications (e.g., Roumeliotis and Unger, *J. Chromatogr.* 149:211 (1978) or Asmus et al. *J. Chromatogr.* 123:109 (1976)) and patents (Sebastian et al. U.S. Patent No. 3,956,179; Hancock et al. U.S. Patent No. 4,257,916; or Ramsden et al. U.S. Patent No. 4661,248).

In a related approach, polymeric (multilayer) bonded stationary phases are prepared from bi- or tri-substituted organosilanes with the general formula $\text{X}_n\text{SiR}_{4-n}$, where X = alkoxy, halide or any easily

hydrolyzed group, and $n = 2, 3$. The resulting polymeric bonded support bears repeating surface structures of the type

5



10 where $\text{Y} = -\text{R}$ ($n=2$) or $-\text{O}-$ ($n=3$) and the oxygen atom ($-\text{O}-$) is bonded either to a hydrogen (that is, as part of a free silanol, $\text{Si}-\text{O}-\text{H}$) or to another silicon atom (that is, as part of a siloxane linkage, $\text{Si}-\text{O}-\text{Si}$). A number of patents and publications describe the preparation of these materials (Kirkland and Yates, U.S. Patent
15 Nos. 3,722,181 (1973), and 3,795,313 (1974); Novotny et al., *J. Chromatog.* 83:25 (1973); Sander and Wise, *Anal. Chem.* 56:504 (1984)). Although in many instances these bonded supports provide satisfactory separations, the
20 lack of control of the polymerization process seems to be a major contributor to such problems as irreproducible layer thickness and incomplete silanol condensation. This limitation has confined polymeric bonded stationary phases to applications where the
25 presence of a multilayer is necessary and/or its thickness is relatively unimportant. As a consequence, the vast majority of liquid chromatographic separations are carried out with monolayer bonded phases.

The recent development of electrophoretic
30 separations in a capillary format has promoted the extent of the silanization technology normally used in chromatography to the deactivation of the inner wall of the fused silica capillary. Thus, Jorgenson et al. (*Science* 222:266 (1983)) have noted that separation of
35 model proteins, such as cytochrome, lysozyme and ribonuclease A, in untreated fused silica capillaries with a phosphate buffer at pH 7 was accompanied by

severe tailing, and suggested that this might be caused by strong interactions between the proteins and the capillary wall. Derivatization of the capillary wall has been proven effective to prevent or control protein adsorption (McCormick, *Anal. Chem.* 60:2322 (1988); Bruin et al., *J. Chromatog.* 471:429 (1989)). In addition, by chemically modifying the inner surface of the capillary, operational variables such as the electrosomotic flow are more amenable to control. In another application (Hjerten, U.S. Patent No. 4,680,201 (1987); Cohen and Karger, U.S. Patent Nos. 4,865,706 and 4,865,707 (1989)), a method is described for preparing fused-silica capillary tubes for electrophoretic separations by use of a bifunctional compound in which one group (usually a terminal —SiX_3 group where X = ethoxy, methoxy or chloride) reacts with the capillary wall and the other (usually an olefin group) does so with a monomer taking part in a polymerization process. This process resulted in a wall-bonded, polymer-filled capillary useful for polyacrylamide gel electrophoresis.

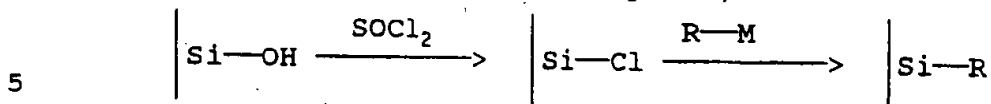
The extensive usage of these bonded materials in chromatography and capillary electrophoresis does not necessarily imply that they meet all requirements with respect to separation performance and stability. On the contrary, monomeric bonded phases, for instance, are subject to serious effects arising primarily from a relatively limited organic coverage due to the "bulky" methyl groups of the anchored moiety, and from a still unsatisfactory hydrolytic stability of the Si—O—Si—C linkage, particularly under moderately acidic or slightly alkaline elution conditions. Similarly, polymeric bonded phases although having somewhat better organic coverages, contain a considerable population of free silanols and also exhibit a limited hydrolytic stability. Incomplete surface coverage and poor hydrolytic stability both result in the exposure of a

substantial number of surface silanols, groups which are known to be primarily responsible for the residual adsorption phenomena that plague silicon-based separation materials. These so called "silanophilic" interactions are usually undesirable in chromatography as well as in capillary electrophoresis because they often result in "tailing" peaks, catalyze solute decomposition, lead to unreliable quantitation, etc. One of the most striking cases of silanophilic interactions occurs perhaps in the separation of certain compounds containing amino or other similar groups, particularly biomolecules. For instance, many proteins may interact very strongly with unreacted silanols leading to excessive band tailing, incomplete recovery of one or more solutes, or even recovery of the same component from different bands.

In an effort to overcome such problems, other organosilane reagents have been developed. Two related approaches have been proposed in which either the methyl groups of the organosilane reagent are replaced by bulkier groups (Glaich and Kirkland, U.S. Patent No. 4,705,725, (1987)) or a "bidentate" silanizing reagent is used (Glaich and Kirkland, U.S. Patent No. 4,746,572, (1988)). In both cases the new groups are aimed to shield the unreacted silanols as well as the hydrolytically labile linkage that bonds the silane to the support. Although this steric protection has resulted in somewhat improved bonded phases, the synthetic procedures still involve the formation of unstable Si—O—Si—C linkage, and therefore, the necessity still exists for a truly effective silane chemistry.

In another completely different approach, bonded silicas bearing direct Si—C linkages have been developed. They involve the sequential reaction of the silica substrate with a chlorinating reagent (e.g.,

thionyl chloride) and a proper alkylating reagent (e.g., a Grignard or organolithium compound):



where $\text{—M} = \text{—Li}$ or —MgBr . In principle, this method should provide not only a closer attachment and a denser coverage of organic functionalities but also a more hydrolytically stable bonded phase than that obtained by the corresponding Si—O—Si—C linkage. However, the acceptance for the application of a chlorination/Grignard or chlorination/organo-lithium reaction sequence as a routine method to modify silica substrates has been hindered by several factors. One factor is that the one-step organosilanization procedure (such as described in U.S. Patent No. 3,956,179 to Sebastian et al.) is relatively easy to carry out as compared to the two-step halogenation/alkylation sequence. Difficulties associated with the removal of residual salts which may be occluded in the porous silica matrix during the alkylation process is also an important factor which has contributed to the limited usage of this synthetic approach. Finally, but not less importantly, the preparation of the alkylation reagent exhibits strong interferences with many reactive functionalities, particularly those containing carbonyl, nitrile, carboxyl, amide, alcohol, etc. That is, the great reactivity which makes a Grignard reagent so useful in many synthetic approaches seriously limits its applicability. The organic group, R, in the Grignard reagent, RMgBr , must remain intact during the preparation of the reagent. It is a well known fact that Grignard reagents react with acidic components to form the corresponding hydrocarbon group R-H . More strictly, "any compound containing hydrogen attached to an electronegative element such as oxygen, nitrogen, and

even triply-bonded carbon are acidic enough to decompose a Grignard reagent" (Morrison and Boyd, *Organic Chemistry*, 3rd Edition, 1974). Additionally, a Grignard reagent reacts readily with molecular oxygen, carbon dioxide, and with "nearly every organic compound containing a carbon-oxygen or carbon-nitrogen multiple bond" (supra). The nitro group (—NO_2) also appears to react oxidatively with a Grignard reagent. It seems clear therefore that only a very limited number of organic functionalities may be present in the halide compound from which a Grignard reagent can be prepared. Being even more reactive than the corresponding Grignard reagent, an organolithium reagent should exhibit the same limitations described above to a similar or even greater extent. This, of course, greatly limits the versatility of this approach.

It is therefore desirable to address the shortcomings of existing bonded packings by developing an alternate silane chemistry which combines the superior coverage and hydrolytic stability of direct Si—C linkages with the preparation simplicity of silanization.

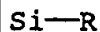
Summary of the Invention:

In one aspect of the present invention, a solid intermediate is provided that comprises an inorganic oxide-based, relatively rigid surface. The surface (after final derivatization) is exposable to fluids with components therein being separated, such as during chromatographic or electrophoretic separations. The intermediate surface before final derivatization has hydride groups thereon.

After final derivatization, supports of the invention have an inorganic oxide substrate to which is covalently attached an organic functionality, through hydrolytically stable surface-to-carbon linkages. A

preferred support of this invention comprises a silica substrate which, upon derivatization by methods described in this invention, contains surface structures of the following type:

5



where R is an alkane, substituted alkane, alkene or substituted alkene.

10

The present invention represents a totally different approach to the prior problems in producing very stable, covalently bonded separation substrates for all types of liquid and gas chromatography as well as capillary electrophoresis.

15

Supports of the invention are prepared by the catalytic addition of silicon hydrides to organic compounds bearing a terminal vinyl or acetenyl group via a solid intermediate, which provides the silicon hydride species on the substrate surface. The final product contains closely packed direct silicon-carbon linkages thus providing a significantly improved surface-modified separation support with regard to stability and silanophilic interactions. Additionally, because of the intrinsic freedom from interferences of the catalytic SiH addition (hydrosilation), the method of preparation is an extremely versatile one in that it allows bonding of virtually any organic functionality to a support material, in a clean, high-yield procedure. By properly choosing the chemical composition of the R-group, chemically bonded separation materials may be prepared which exhibit a wide range in selectivity.

20

25

30

Brief Description of the Drawings:

Figure 1 illustrates partial IR spectra of hydride intermediates prepared according to the invention via a chlorination/reduction sequence, as

35

described in Example 1 (curve A); or by coupling with the acid hydrolysis product of triethoxysilane, as described in Example 2 (curve B);

Figure 2 illustrates partial IR spectra of octyl- (curve A) and octadecyl- (curve B) bonded silicas prepared according to the invention, as described by Example 3;

Figure 3 illustrates plots of surface coverage versus hydrolysis time for octyl bonded Vydac 101TPB[™] silicas, one of which (hydrosilation product) was prepared according to this invention and the other (silanization product) from a commercial (prior art) procedure. The long-term hydrolysis was in 15 mM trifluoroacetic acid solution containing 10% v/v dioxane, as described in Example 4;

Figure 4 is analogous to Figure 3, but is shown on a relative basis; and

Figures 5 and 6 illustrate the same test as Figures 3 and 4, but this time the test solution was 15 mM phosphate at pH 2.0.

Detailed Description of the Invention:

This invention differs from most of the materials currently available by including a direct substrate-to-carbon bond instead of a substrate-O—Si—C type of linkage. It is a primary purpose of this invention to provide a surface-modified separation material which exhibits extended lifetime (hydrolytically stable), displays improved adsorption properties (more extensive and versatile organic coverage), and is substantially free of contaminants (e.g., residual salts and the like).

This unique material of the invention is produced by the catalytic addition of surface hydride species to an organic reagent containing a multiple carbon-to-carbon bond, after converting the original

surface hydroxyl to hydride groups. The method of making this surface-modified material is a very versatile one in that it allows the attachment to a substrate of organic functionalities which could not be possible by regular organometallic procedures.

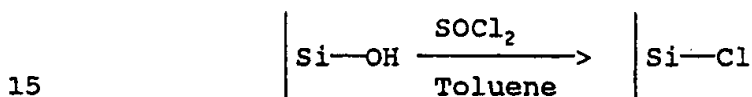
Supports of the invention are produced from a structurally rigid inorganic oxide which provides a hydrated surface vastly populated by hydroxyl groups. Suitable inorganic substrates as precursors include, but are not limited to, oxides of metalloids and metals such as silicon, aluminum, tin, thorium, magnesium, titanium, zirconium, etc., and combinations thereof. In a preferred embodiment, the substrate precursor material is silicon oxide in the form of silica, quartz or the like materials which are commonly used in gas and liquid chromatographic as well as in capillary electrophoretic separations. In two particularly preferred embodiments, the substrate precursors to be modified are porous, particulate silica (such as beads), as well as non-porous, fused silica or quartz capillary tubes.

The products of the present invention are prepared by a modification scheme which comprises two major steps: (1) Attachment of hydride species on the substrate precursor so as to give a fairly stable intermediate; and (2) Reacting said hydrided surface with organic compounds bearing a terminal unsaturated hydrocarbon group, in the presence of a catalyst, whereby direct linkage of said inorganic substrate to carbon is provided.

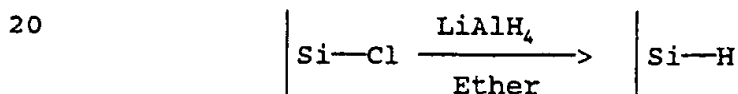
The hydroxyl groups of the oxide substrate precursor provide active sites which can be chemically transformed into intermediate surface hydride groups. This is accomplished either by direct conversion of the hydroxyl groups to hydride groups via a halogenation/reduction sequence, or more preferably, by condensation of the surface hydroxyl groups with a

hydridosilane coupling reagent. In the latter instance, the hydride intermediate is thus obtained as a surface deposition of the trihydroxysilane hydrolysis product from a hydrolyzable trisubstituted silane. However, in
 5 either case, a chemically and thermally stable hydride intermediate is obtained in which most of the original hydroxyl groups are replaced by silicon hydride species.

In one procedure the substrate precursor material, e.g., silica, is first reacted with a suitable
 10 excess of a halogenating reagent, preferably thionyl chloride, in the presence of an anhydrous solvent such as toluene:

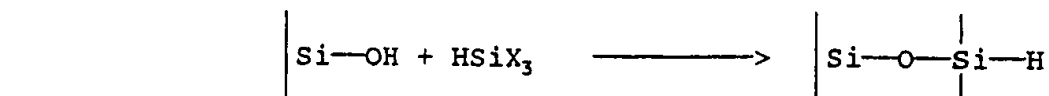


Reduction of the halogenated material is then effected by reaction with a suitable excess of a solution of a metal hydride such as lithium aluminum hydride or its derivatives:



The reduced material is finally subjected to a "clean-up" step with a dilute aqueous acid, so as to
 25 remove chemisorbed salts (e.g., aluminum chloride) which originate from the reducing reagent.

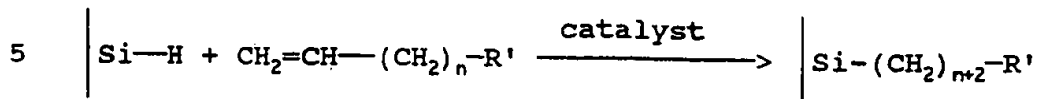
A more preferred procedure to prepare the hydride intermediate involves the reaction of the inorganic oxide substrate, e.g., silica, with a suitable
 30 excess of a hydridosilane ethereal solution containing dilute mineral acid (typically 0.1M HCl):



35 where X is a hydrolyzable group, preferably an alkoxy or halide group such as ethoxy or chloride.

The hydrided surface is then reacted with an organic compound containing a carbon-to-carbon multiple

bond, preferentially a terminal vinyl group, in the presence of an appropriate catalyst so as to give a direct linkage of the surface to carbon:



where $n \geq 0$ and R' = alkane, substituted alkane, alkene or substituted alkene. That is, R' ranges from simple hydrocarbon groups such as n-alkyls to heteroatom compounds such as carbonyls, nitriles, amides, epoxy, etc., depending on the application for which the final addition product -the bonded substrate- is intended. Alternatively, an acetylenyl-terminated compound with the general formula $\text{HC}\equiv\text{C}-(\text{CH}_2)_n-\text{R}'$ can be used.

15 As previously known in other contexts, the addition of silicon hydrides to unsaturated hydrocarbons, commonly referred to as hydrosilation or hydrosilylation, has been recognized as one of the most important laboratory methods to form Si-C bonds. The
20 reaction's minimal interference with other reactive functionalities (e.g., CO_2R , CN , NH_2 , etc.) has permitted the attachment of silicon to organic molecules which otherwise cannot be introduced by regular organometallic procedures. Details of the reaction in homogeneous
25 phase can be found elsewhere (e.g., Speier, *Adv. Organomet. Chem.* 17:407 (1979); Seyferth, editor, *J. Organomet. Chem. Library* 5:1 (1977)).

Hydrosilation is generally carried out in the presence of a metal catalyst. A variety of inorganic
30 and organic complexes of transition metals such as platinum, rhodium, palladium, ruthenium, iridium and nickel have functioned as very effective catalysts for the addition reaction. The catalyst often consists of a solution of a halide-, olefin-, carbonyl- or phosphine
35 complex of the transition metal. Chloroplatinic acid in an isopropanol solution (also known as "Speiers"

catalyst) is the most commonly used form. Only as little as 10^{-5} mole of platinum per mole of silicon hydride is normally sufficient for an effective hydrosilation. Commonly, an "induction period" is required when the Speiers catalyst is used. The addition then becomes rapid and can be done at room temperature or under reflux to ensure a high yield.

For simple liquid olefins no additional solvent is normally required. For highly reactive olefins (such as those with a strong tendency to polymerize, e.g., allylmethacrylate, allyl glycidoxyl ether, etc.) an inert solvent such as toluene, benzene, saturated hydrocarbons, chloroform, etc. is suitable. In general, the reaction is conveniently carried out under dry conditions, at temperatures often below the boiling point of the liquid. Typically, an excess of the olefin with respect to the available surface hydride groups is used. The magnitude of such an excess depends on the nature of the substituents in the olefin. Highly reactive reagents (epoxy-containing olefins, for instance) require 10 to 50% molar excess while simple (unsubstituted) olefins may need a 10-fold molar excess or more to produce a high surface coverage.

As with any surface modification procedure, the sites of the bonding reaction will eventually become sterically hindered at some point and, consequently, not all of the Si—H sites will be converted to Si—C. In order to remove as many of the remaining hydrides as possible, a "hydride end-capping" procedure usually follows the primary bonding reaction. Ethylene gas is conveniently used for this purpose since it offers the smallest possible steric hindrance in olefinic addition. Once the main bonding reaction is considered complete, the ethylene gas is introduced into the reactor and maintained at high pressure over the solution containing the bonded support. This mixture is stirred and heated

again for a period of several hours. The need for
hydride end-capping is particularly critical when
aqueous alkaline solutions are used. Under these
conditions, hydride groups are rapidly hydrolyzed
5 generating hydrogen gas, with obviously deleterious
effects if the reaction occurs during the course of a
separation. Under acidic conditions, on the other hand,
silicon hydride groups are virtually indefinitely stable
and, therefore, hydride end-capping may not be
10 necessary. Compared to conventional silanol end-capping
of the prior art in which a bulky trimethylsilyl group,
(CH₃)₃Si—, is attached, hydride end-capping in the
present invention results in a "skinny" (linear) ethyl
surface ligand, CH₃CH₂—. A more efficient secondary
15 surface coverage can therefore be expected.

In general, the hydrosilation reaction has a
great deal of versatility. This is due to the fact that
relatively few reactive functionalities interfere with
the olefinic addition. For example, hydrosilations
20 catalyzed by chloroplatinic acid have been used to
attach to silicon organic groups containing a wide
variety of functionalities including: halogens,
nitrite, cyanide, amines, alkylsulfites, alkylsulfona-
mides, borate esters, and phosphohalides. The ester
25 group (—CO₂R) does not normally interfere with the
hydrosilation reaction. However, addition to the
carbonyl group of aldehydes and ketones usually,
although not always, takes place. This seems to be
particularly true for α,β -unsaturated carbonyls. A
30 similar behavior is exhibited by unsaturated nitriles as
well as epoxydes of 1,3-dienes. By using olefins whose
C=C bond is separated from the heteroatom unsaturation
by at least a methylene (—CH₂—) group, normal
1,2-addition is readily achieved. Carboxylic acids,
35 phenols and alcohols react at the —OH group, although
normal addition can occur with olefinic tertiary and

sometimes secondary alcohols in which alcoholysis of the Si—H group is sterically hindered. Acidic functionalities can be bonded to silicon, however, by "protecting" the —OH group with a $(\text{CH}_3)_2\text{Si—}$ group, which can be readily hydrolyzed off afterwards.

Among the major advantages of the practice of the present invention is that the relatively limited surface coverage due to the "bulky" methyl groups in prior art organosilanes can be avoided and, consequently, a more densely populated surface can be obtained. Additionally, the hydrolytic advantage of direct Si—C linkages may be achieved without the disadvantages that occur when such a linkage is obtained by the known sequential reaction with a chlorinating reagent and an alkylating reagent such as Grignard or organolithium. Moreover, the intrinsic freedom from interference makes hydrosilation a particularly convenient approach to attach virtually any organic functionality to a hydride support, resulting in a remarkably versatile separation material. Thus, the present invention not only combines the superior coverage and hydrolytic stability of direct Si—C linkages with a simplicity approaching that of currently available silanization procedures, but also provides a versatile separation support suitable for virtually any application.

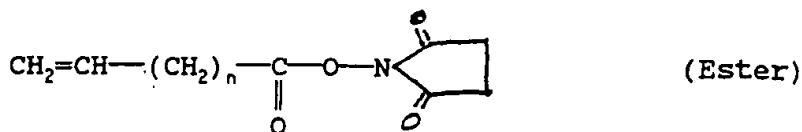
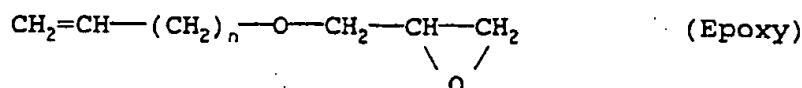
The primary applications for the invention are in the areas of bonded phases for high performance liquid chromatography (HPLC) as well as inner surface-modified capillaries for high performance capillary electrophoresis (HPCE). In both applications the products of the invention will be especially useful for the separation of biologically important solutes such as proteins and nucleic acids as well as their fragments. Very often, HPLC separations of proteins have to be carried out in mobile phases containing

aggressive electrolytes at low pH, such as trifluoroacetic acid. Under these conditions bonded supports from the previous art perform poorly with reference to organic phase degradation. Similarly, HPCE separations of proteins in surface-modified capillaries produced by the previous art exhibit "bleeding" of the bonded coating. In any case, this results in either irreproducible results on an analytical scale or in a significant solute contamination on a preparative scale. The products of this invention overcome the degradation disadvantage of prior HPLC and HPCE materials while still providing similar or better separation performance.

In its most preferred form, the organic reagent used in the present invention assumes the general formula $\text{CH}_2=\text{CH}-(\text{CH}_2)_n-\text{G}$, where the terminal vinyl group provides attachment to the support surface, the group $-\text{G}$ provides the desired functionality, and the value of n controls the length of the chain separating the two groups. The bonded supports of this invention can contain a wide variety of functional groups to fit virtually any application. A few illustrative examples in HPLC include non-polar, purely hydrocarbonaceous $-\text{G}$ ligands for "reversed" phases, polar groups such as nitrile ($-\text{C}\equiv\text{N}$) for "normal" phases, and ionogens such as quaternary ammonium salts and sulfonic acids for anion- and cation-exchange phases respectively.

A particularly important application of the present invention is in affinity chromatography. In this case, the $-\text{G}$ functionality assumes a specially reactive form such as epoxy or certain succinimido esters, represented by the following substituted-olefin structures:

17



5

Once bonded to the hydrided substrate, these groups are readily bound to ligands with specific biochemical activity. Of special novelty is the reactive ester because the current art requires a cumbersome, multi-step preparation procedure.

For size-exclusion chromatography, the —G functionality takes the form of hydrophilic groups such as polyols, which can be readily prepared via acid hydrolysis of previously bound epoxy groups. Alternatively the epoxy ring can be opened with a properly sized polyglycol, in which case a polymeric coating will result.

A similar polyol-modified surface can be used in open-tube capillary electrophoresis. In this case the hydrophilic fused-silica capillary is particularly useful for the electrophoretic separation of biopolymers such as proteins and their fragments. In another important HPCE application, an olefinic modifier such as allylmethacrylate (in which —G = —O—CO—C(CH₃)=CH₂) can be bonded to the capillary wall. This bonded functionality is then copolymerized with a gel mixture to produce a gel-filled, wall-bonded capillary which is useful for gel HPCE. Extremely high resolving power is achieved with these capillaries when applied to polyacrylamide gels. This technique is particularly well-suited for the separation of biologically important macromolecules including nucleic acids, proteins and their fragments, under both denaturing as well as non-denaturing conditions.

EXPERIMENTALMaterials and Methods:

Toluene, diethyl ether and dioxane (EM Industries, Inc.) were dried by allowing them to stand with calcium hydride (Sigma Chemical Co.) for several days, refluxing and then distilling from the hydride immediately before use. A 0.2M lithium tetrahydridoaluminate (Sigma Chemical Co.) ether solution was prepared and used as the reducing reagent. Thionyl chloride ("Gold Label", Aldrich Chemical Co.), 1-octene and 1-octadecene (Sigma Chemical Co.) were used as received. Infrared quality potassium bromide (Harshaw/Filtrol Partnership) powder was used for the FT-IR spectra. Two particulate silica substrates were used: Partisil-40[™] (Whatman Inc., Clifton, NJ) with a 40 μm mean particle size, 85 Å mean pore diameter and 315 m^2/g surface area; and Vydac 101TPB[™] (The Separations Group, Hesperia, CA) with 5.6 μm mean particle size, 334 Å mean pore diameter and 89 m^2/g surface area.

All silica derivatization reactions were carried out under a dry nitrogen atmosphere in glassware that had been previously dried at 120°C overnight. Transfer of liquids was accomplished either with a glass syringe (<20 mL) or by means of a stainless steel cannula and nitrogen pressure, via silicone rubber septa. Prior to reaction, the silica substrate was dried under vacuum at 110°C overnight and then cooled in a vacuum desiccator.

Infrared spectra were taken in the 4,000-450 cm^{-1} region with a Perkin Elmer Model 1800 FT-IR spectrometer equipped with a Spectra-Tech diffuse reflectance accessory. Silica samples were mixed 1:1 by weight with KBr and 100 sample scans were ratioed against pure KBr as a reference. Spectra shown were normalized to 100% transmittance.

Example 1Preparation of Hydride Silica by a
Chlorination/Reduction Sequence

5.00 g of dried Partisil-40[™] silica were
suspended in 60 mL of freshly distilled, dry toluene,
and 10 mL of thionyl chloride (to obtain a 10-fold ratio
excess with respect to silanol content) were added. The
mixture was magnetically agitated and the chlorination
was allowed to proceed under reflux for at least 18
hours after which the excess SOCl₂ was distilled off.
Removal of any remaining SOCl₂ was achieved by washing
the dark-purple product at least 8 times with 80-mL
portions of dry toluene while magnetically stirring for
15 min. After each washing, and once the solid had
settled, the solvent was carefully aspirated off to
waste by means of a slight vacuum applied to a glass
pipette. Finally, the chlorinated silica was washed
with one 30-mL portion of dry diethyl ether, and then
left in a final fresh ether aliquot.

70 mL of 0.2M LiAlH₄ ether solution (about a
4-fold molar ratio, hydride/original silanol) were added
slowly to the chlorinated silica/ether suspension while
stirring. An immediate reaction was evidenced by a
color change from dark purple to white. The reaction
was allowed to proceed for two hours under a gentle
reflux. A dry-ice condenser was found to be appropriate
to safely condense relatively volatile intermediate
reaction byproducts. The excess of LiAlH₄ was then
aspirated off and destroyed by adding ethyl acetate
(about 10 mL) followed by isopropanol dropwise with
stirring until hydrogen evolution ceased. The product
was next washed with eight 30-mL portions of dry ether
to remove any remaining aluminum hydride and/or chloride
species in solution. The "hydrided" silica was then
dried overnight in a vacuum dessicator at room

temperature. The dry solid was washed 3 times with 50-mL portions of a 0.5M HCl aqueous solution, followed by further washings with tetrahydrofuran(THF)/water 1:1 v/v and ether. The product was finally dried at 110°C under vacuum overnight. The partial IR spectrum of the hydride intermediate so prepared is illustrated by curve A of Figure 1.

Example 2

Preparation of Hydride Silica by Silane Condensation (Coupling)

Five grams of Partisil-40[™] silica were suspended in 50 mL of dioxane containing 5 mL of 3M HCl aqueous solution. The suspension was magnetically stirred, heated at about 75°C and then 110 mL of a 0.2M triethoxysilane solution in dioxane were added dropwise (obtaining about 30% molar excess of silane with respect to silanol). The reaction was allowed to proceed under reflux for about 60 minutes after which the suspension was centrifugated and the solid washed consecutively with 50-mL portions of 1:1 v/v THF/water, THF and diethyl ether. After solvent removal, the solid was dried at 110°C under vacuum overnight.

Except for a favorably higher Si—H surface concentration, the product obtained exhibited essentially identical spectroscopic, chemical and thermooxidative characteristics to that prepared via chlorination/reduction sequence as described in Example 1. The simplicity and efficiency of this procedure make the silane coupling a preferred method. The partial IR spectrum of the hydride intermediate so prepared is illustrated by curve B of Figure 1.

Example 3

Preparation of Octyl- and Octadecyl-Bonded Silicas

60 mL of 1-octene (density 0.715 g/cc, 97% purity) containing 75 μ L of 0.1M chloroplatinic acid solution in 2-propanol were heated to about 70°C while
5 agitating magnetically for about 30 min or until a clear solution was obtained. Five grams of hydride intermediate substrate prepared as described by Example 1 were then added to the olefin/catalyst solution, and the
10 reaction allowed to proceed for about 24 hours at 100 \pm 2°C. The mixture was then centrifugated and the solid washed with three 40-mL portions of toluene followed by similar washings with dichloromethane and diethylether. After removing the solvent, the solid was
15 dried at 110°C overnight. The octyl-bonded silica contained 10.9% (by weight) of carbon, which corresponds to a surface coverage of about 3.7 μ mole of octyl groups per square meter. The use of an equivalent amount of the olefin-platinum complex dicyclopentadienyl
20 platinum(II) dichloride as catalyst resulted in essentially the same level of surface coverage. A partial IR spectrum of the product is shown in curve A of Figure 2.

A similar procedure, this time with
25 1-octadecene (density 0.79 g/cc, 99% purity) instead of 1-octene, was followed to prepare an octadecyl-bonded silica. A carbon content of 11.8% (by weight) was obtained which corresponded to a surface coverage of about 1.8 μ m/m². Curve B of Figure 2 shows a partial IR
30 spectrum of the octadecyl-silica product.

Example 4Long-Term Hydrolysis Test of an Octyl-Silica
Prepared According to the Invention

Using Vydac 101TPB[™] silica as a substrate, an
5 octyl-bonded silica was prepared by consecutively
applying the procedures described in examples 1 and 3.

For the hydrolysis test, 0.75 g of the bonded
phase material were suspended in 1 mL of dioxane by
magnetically stirring for 5 minutes. Then, 40 mL of an
10 aqueous 15 mM trifluoroacetic acid or 15 mM phosphate pH
2.0 solution containing 10% v/v dioxane were carefully
added. The mixture was magnetically agitated at room
temperature for 12 hours. After this period, a 2-mL
aliquot of the well-agitated suspension was taken and
15 the liquid of the remaining mother suspension was
removed by centrifugation. A fresh treating solution
was added and the hydrolysis continued for a new 12-hour
period. After each sampling, the volume of the treating
solution is decreased so as to maintain a constant
20 liquid-to-solid ratio during the entire process. The
procedure is repeated over a total time of about 100
hours. The silica from each 2-mL aliquot sample was
washed consecutively with 3-mL portions of 1:1 v/v
THF/water, THF and finally diethylether. The solid was
25 dried at 110°C under vacuum for several hours and its
remaining carbon content determined by a conventional
combustion method. The decrease in carbon content (% by
weight), or its corresponding molar surface coverage
($\mu\text{moles/m}^2$), is a direct measure of the loss of bonded
30 material from the support.

For comparison purposes, a parallel test was
also instituted on a commercially prepared (via a
silanization procedure according to the current art)
octyldimethylsilyl-silica. The starting silica support
35 was the same for both the commercial batch and the

product of this invention. The plots in Figures 3-6 clearly show that the rate of degradation of the silica modified via hydrosilation (present invention) is significantly lower than that of the same substrate modified via silanization (currently available art). At the end of the test, the commercial product had lost about 50% of its initial coverage while, under identical conditions, the hydrosilation product lost only about 15% of its starting coverage material. The improved hydrolytic stability of the product from the present invention over the product from the current art is believed due to the superior strength of the Si—C linkage, as compared to that of prior art Si—O—Si—C linkages.

15

It is to be understood that while the invention has been described above in conjunction with preferred specific embodiments, the description and examples are intended to illustrate and not to limit the scope of the invention which is defined by the scope of the appended claims.

20

In the Claims:

1. A solid intermediate, useful in chromatographic or electrophoretic separations after derivatization, comprising:

5 an inorganic oxide-based, relatively rigid surface, the surface being exposable to fluids with components therein being separated, the surface having hydride groups thereon.

10 2. The solid intermediate as in claim 1 wherein the inorganic oxide is selected from the group consisting of oxides of silicon, aluminum, zirconium, tin, titanium, and combinations thereof.

15 3. The solid intermediate as in claim 1 wherein the inorganic oxide is a silicon oxide and the hydride groups are bonded to silicon atoms of the surface.

4. The solid intermediate as in claim 1 wherein the surface is carried by particulate silica or fused silica.

20 5. The solid intermediate as in claim 4 wherein the particulate silica is porous.

6. The solid intermediate as in claim 4 wherein surface area of the particulate silica is at least about 50 m²/gm and the porosity is a pore diameter of at least about 50 Å.

25 7. The solid intermediate as in claim 4 wherein the surface is carried by fused silica formed as a capillary tube.

30 8. The solid intermediate as in claim 7 wherein the capillary tube is adapted for gas chromatography or for capillary zone electrophoresis.

9. A solid intermediate, useful in chromatographic separations after derivatization, prepared by the process comprising:

35 providing a silica-based, relatively rigid surface, the surface being exposable to fluids with

components therein being separated, the surface having silanol groups thereon; and,

forming hydride species by either (a) halogenating at least most of the silanol groups and
5 reducing the halogenated moieties to form at least one hydride species, or (b) reacting at least most of the silanol groups with a trihydroxyhydridosilane hydrolysis product from a trisubstituted silane.

10 10. A solid substrate, useful in chromatographic or electrophoretic separations, comprising:

an inorganic oxide-based, relatively rigid surface, the inorganic oxide and selected from the group represented by M and consisting of oxides of silicon, aluminum, zirconium, tin, titanium, and combinations
15 thereof, the surface being exposable to fluids with components therein being separated, an organic coating being a substantially hydrolytically stable covering on at least part of the surface and bonded thereto through direct M-C linkages.

20 11. A method for preparing a silica-based intermediate apparatus useful for chromatographic or electrophoretic separations after further derivatization thereof comprising:

25 providing a silica-based, relatively rigid surface having silanol groups thereon, the surface being exposable to fluids with components being separated;

30 forming hydride species by either (a) halogenating at least most of the silanol groups and reducing the halogenated moieties to form at least one hydride species, or (b) reacting at least most of the silanol groups with a trihydroxyhydridosilane hydrolysis product from a trisubstituted silane.

35 12. The method as in claim 11 wherein the surface is carried by a plurality of porous beads or a capillary defining a bore therethrough.

1/6

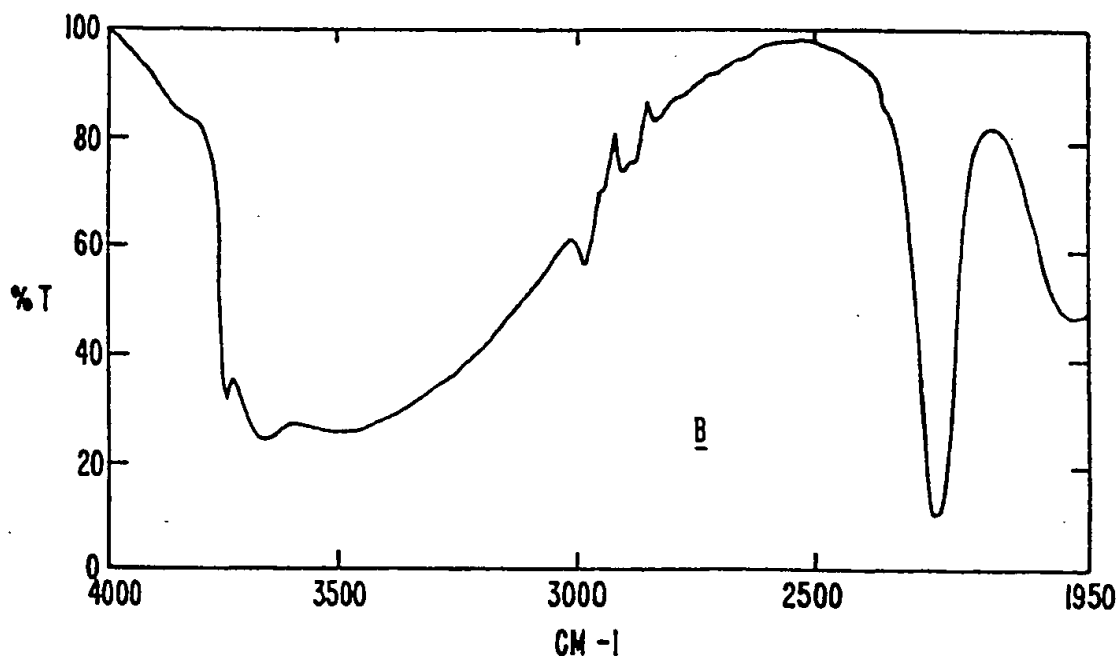
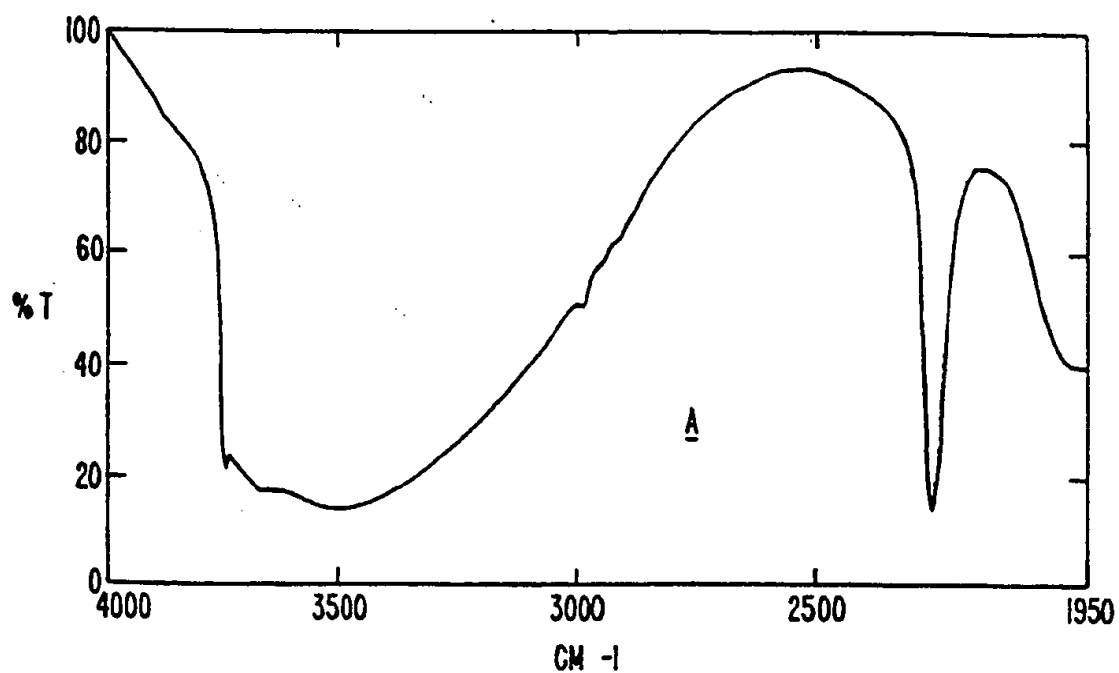


FIG. 1.

SUBSTITUTE SHEET

2/6

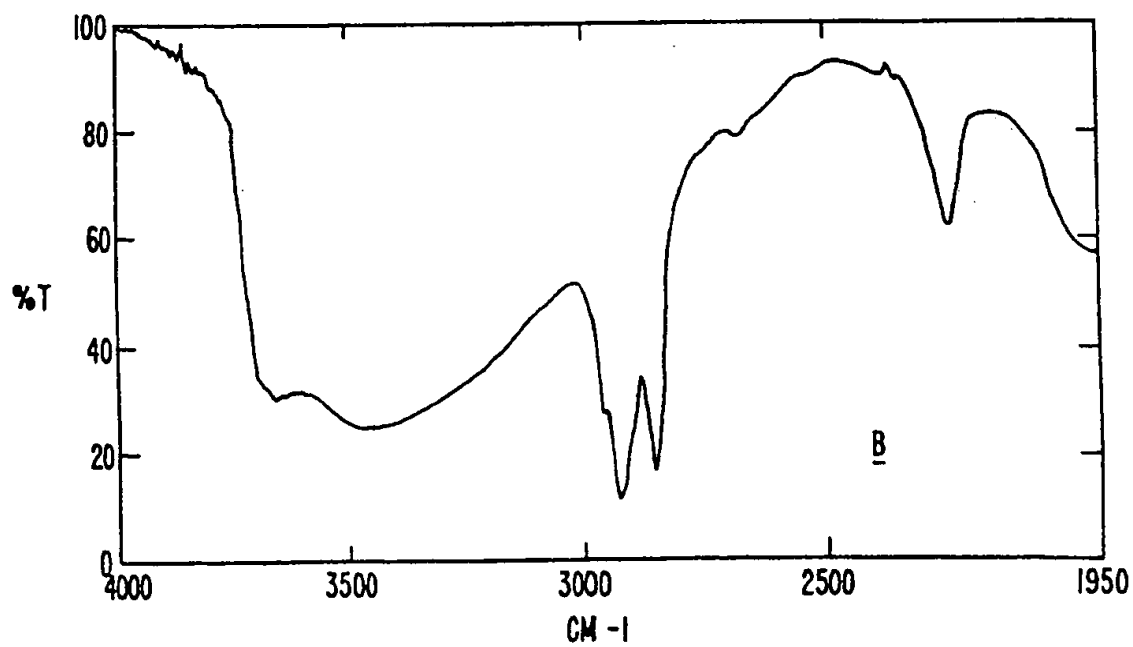
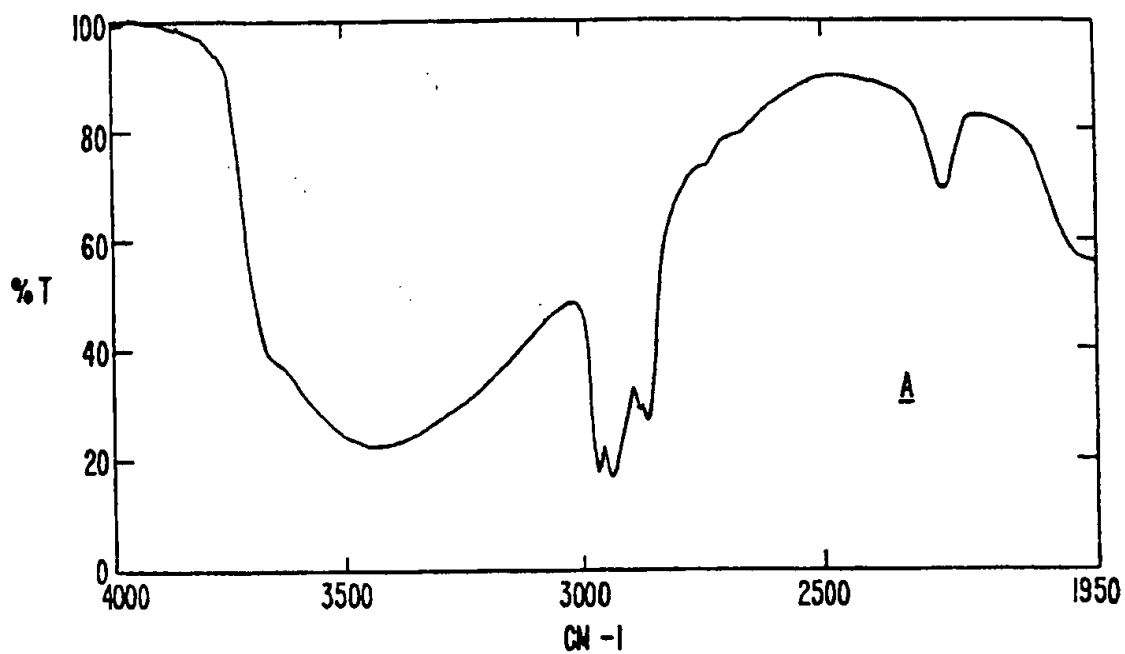


FIG. 2.

SUBSTITUTE SHEET

3/6

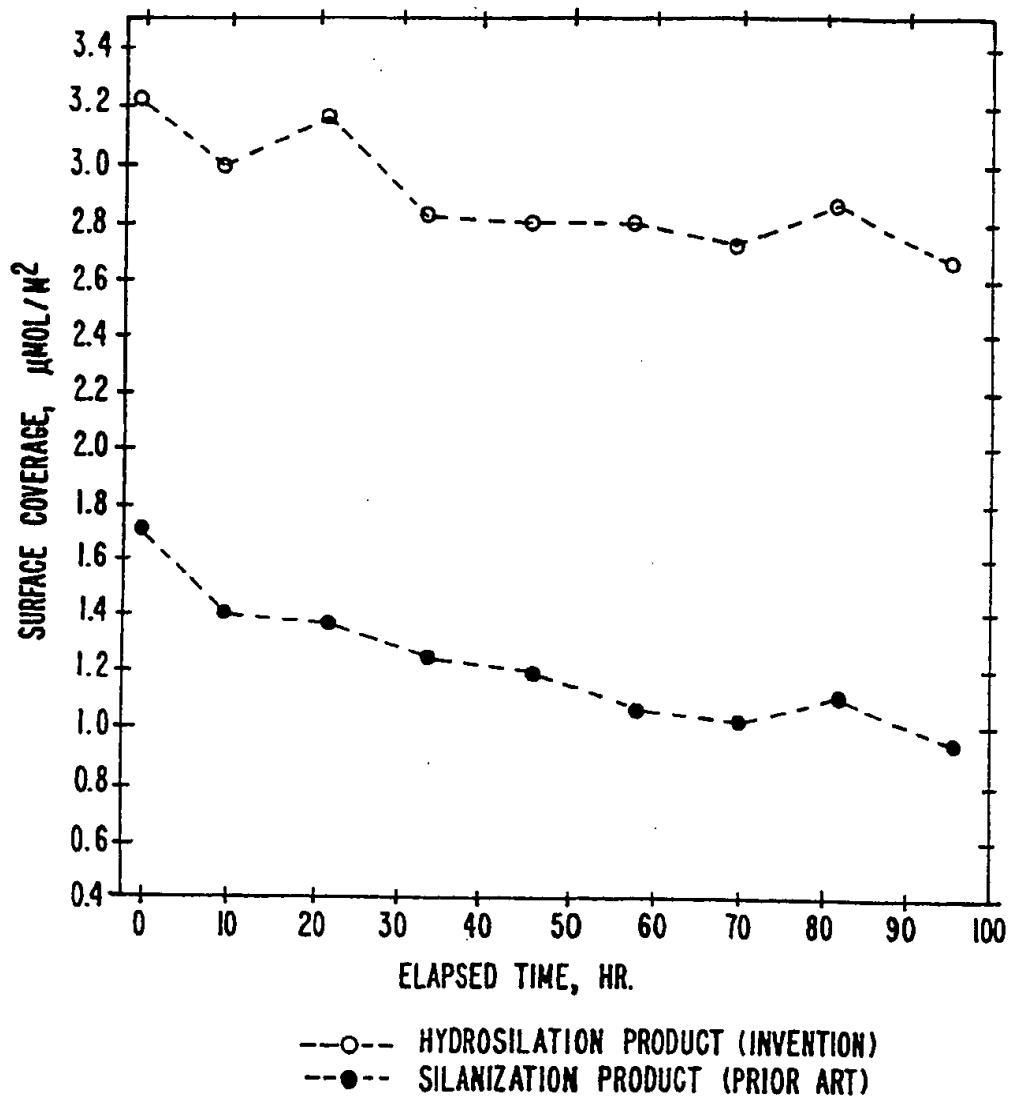


FIG. 3.

SUBSTITUTE SHEET

4/6

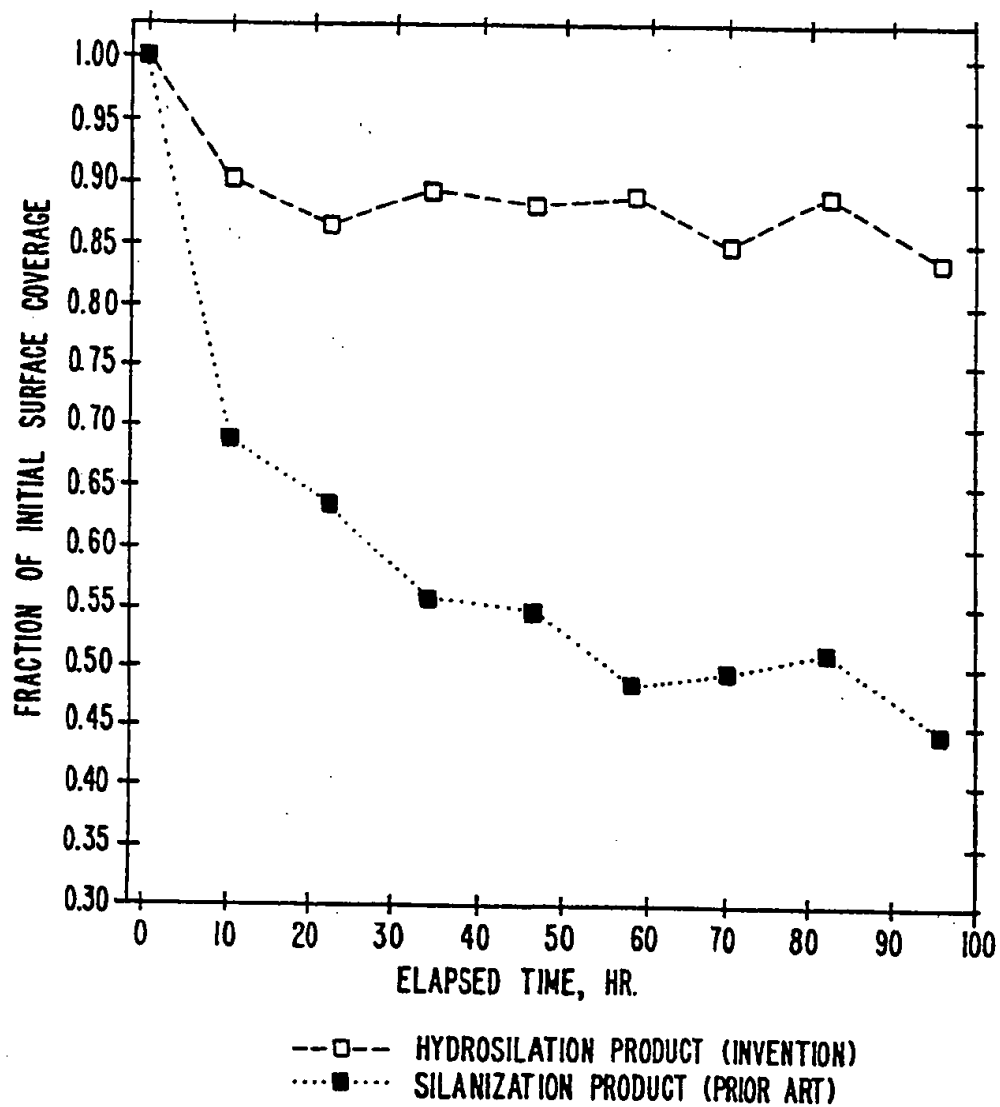


FIG. 4.

SUBSTITUTE SHEET

5/6

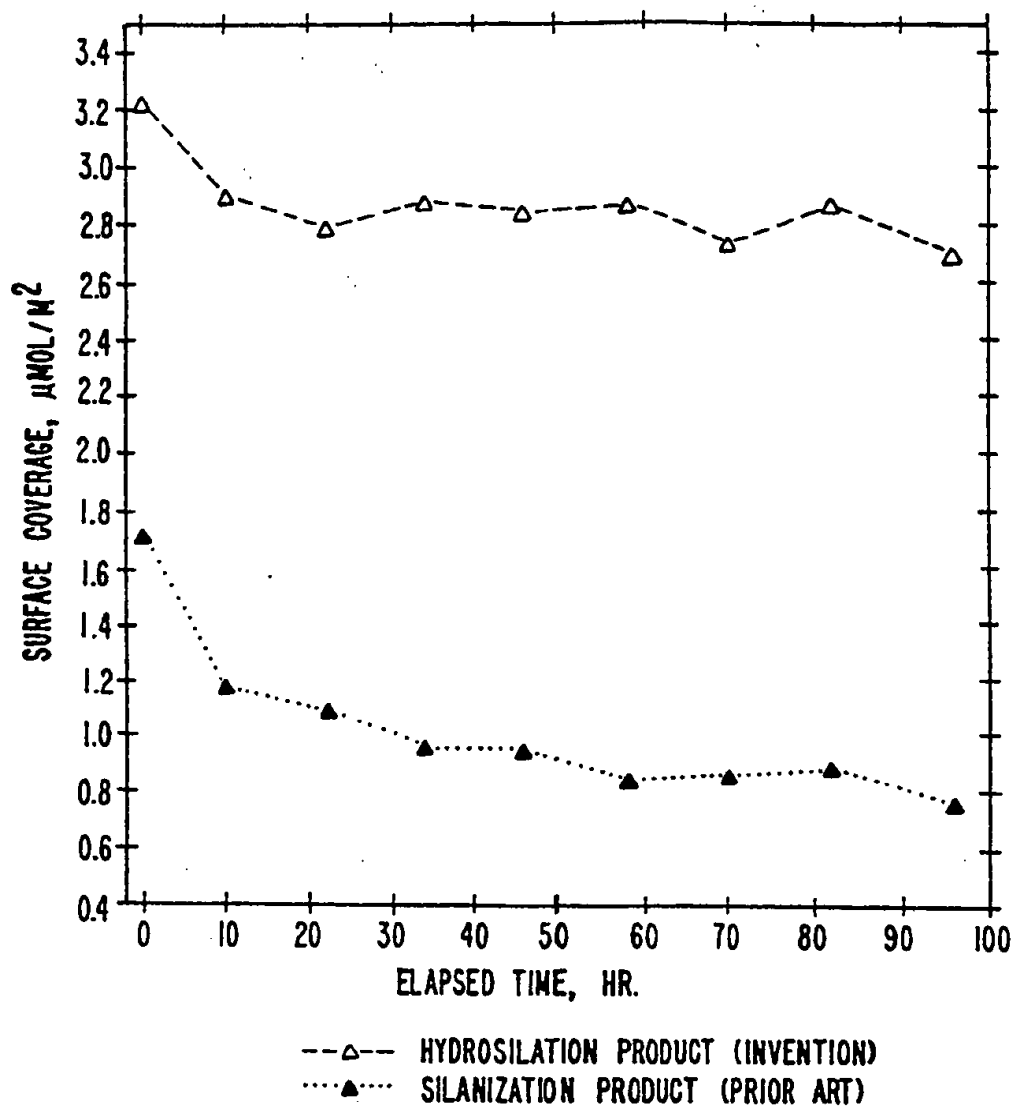


FIG. 5.

SUBSTITUTE SHEET

6/6

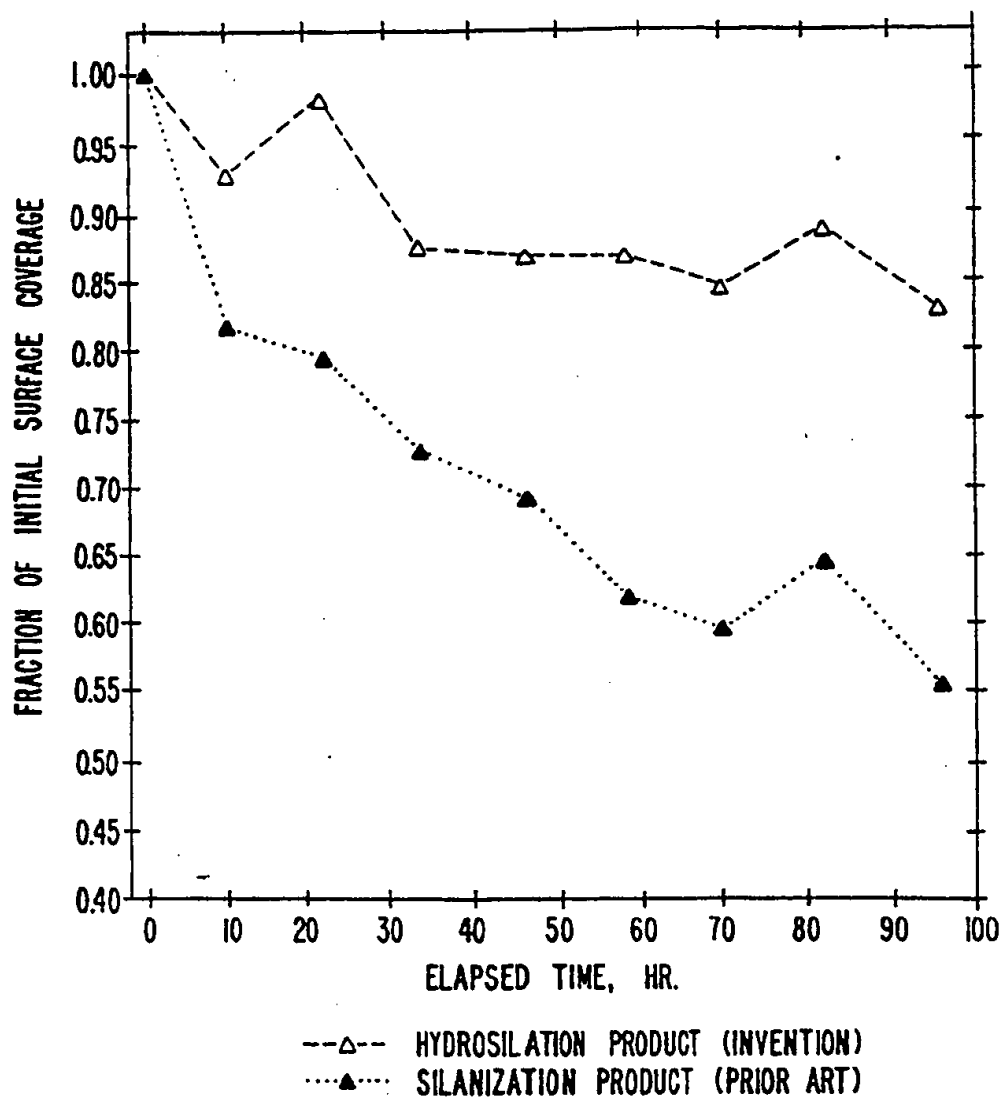
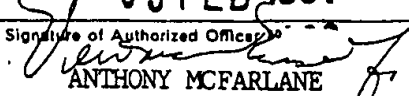


FIG. 6.

SUBSTITUTE SHEET

INTERNATIONAL SEARCH REPORT

International Application No. **PCT/US90/05202**

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all)		
According to International Patent Classification (IPC) or to both National Classification and IPC INT. CL. 5 B01J 20/02, 08 U.S. CL. 502/158,407		
II. FIELDS SEARCHED		
Minimum Documentation Searched *		
Classification System	Classification Symbols	
U.S.	502/158,407	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched		
III. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No. *
A, P	US, A 4,904,632 (PESEK) 27 February 1990 entire document.	1-12
A, P	US, A, 4,946,818 (LEWIS) 07 August 1990 entire document.	1-12
A, P	US, A, 4,959,340 (WILLIAMS) 25 September 1990 entire document.	1-12
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: *</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> </div> </div>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search *	Date of Mailing of this International Search Report *	
06 NOVEMBER 1990	05 FEB 1991	
International Searching Authority *	Signature of Authorized Officer *	
ISA/US	 ANTHONY MCFARLANE	

Form PCT/ISA/210 (second sheet) (May 1988)